

# THE DUAL OF THE DIRECT PRODUCT MIGHT BE THE DIRECT SUM

BASED ON THE TALK BY LUTZ HILLE

DEFINITION.

A ring  $R$  is called slender if the canonical map

$$\Phi_R : \text{Hom}_R(R^{\mathbb{N}}, R) \rightarrow R^{\mathbb{N}}, \varphi \mapsto (\varphi(e_n)),$$

is a monomorphism whose image is  $R^{(\mathbb{N})}$ .

THEOREM.

Let  $R$  be a PID. If  $R$  is not local, then  $R$  is slender.

REMARK.

Let  $R$  be a PID. Then  $R$  is slender if and only if  $R$  is not complete local.

NOTATION.

Let  $R$  be a ring. For  $f \in R^{\mathbb{N}}$  and  $n \in \mathbb{N}$  we define  $f^{\leq n}, f^{\geq n} \in R^{\mathbb{N}}$  by

$$(f^{\leq n})_m := \begin{cases} m & m \in [0, n], \\ 0 & \text{otherwise,} \end{cases} \quad \text{and} \quad (f^{\geq n})_m := \begin{cases} 0 & m \in [0, n], \\ f_m & \text{otherwise.} \end{cases}$$

LEMMA.

Let  $p$  be a prime element of a PID  $R$ . If  $\varphi \in \text{Hom}_R(R^{\mathbb{N}}, R)$  is such that  $\varphi(e_n) = 0$  for each  $n \in \mathbb{N}$ , then  $\varphi((a_n p^n)) = 0$  for each  $a \in R^{\mathbb{N}}$ .

PROOF.

Let  $f := ((a_n p^n))$ . If  $n \in \mathbb{N}_+$ , then our assumptions imply that  $\varphi(f^{\leq (n-1)}) = 0$ , hence  $\varphi(f) = \varphi(f^{\geq n})$ . Moreover,  $p^n \mid (f^{\geq n})_m$  for each  $m \in \mathbb{N}$  and  $n \in \mathbb{N}_+$ , hence  $p^n \mid \varphi(f)$  for each  $n \in \mathbb{N}_+$ , thus the claim follows.

PROOF (PROOF OF THEOREM).

Since  $R$  is not a local ring, there exists prime elements  $p$  and  $q$  of  $R$  such that  $Rp \neq Rq$ .

First we prove that  $\Phi_R$  is a monomorphism. Take  $\varphi \in \text{Hom}_R(R^{\mathbb{N}}, R)$  such that  $\varphi(e_n) = 0$  for each  $n \in \mathbb{N}$ . If  $f \in R^{\mathbb{N}}$ , then there exist  $a, b \in R^{\mathbb{N}}$  such that  $f_n = a_n p^n + b_n q^n$ . Consequently the previous lemma implies that  $\varphi(f) = 0$ , hence the claim follows.

Now we show that  $\text{Im } \Phi_R = R^{(\mathbb{N})}$ . Assume that  $\Phi_R(\varphi) \notin R^{(\mathbb{N})}$  for some  $\varphi \in \text{Hom}_R(R^{\mathbb{N}}, R)$ . Without loss of generality we may assume that

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$\varphi(e_n) \neq 0$  for each  $n \in \mathbb{N}$ . For each  $n \in \mathbb{N}$  let  $k_n$  be the maximal  $k \in \mathbb{N}$  such that  $p^k \mid \varphi(e_n)$ . Assume that there exists  $f \in R^{\mathbb{N}}$  such that the following conditions are satisfied:

- (1)  $q \nmid f_0$ ,
- (2)  $qp^{n-1} \mid f_n$  for each  $n \in \mathbb{N}_+$ ,
- (3)  $p^{n+k_{n+1}} \mid \varphi(f^{\leq n})$  for each  $n \in \mathbb{N}$ .

Then  $p^n \mid \varphi(f) = \varphi(f^{\leq n}) + \varphi(f^{\geq (n+1)})$  for each  $n \in \mathbb{N}$ , hence  $\varphi(f) = 0$ . However  $q \nmid \varphi(f) = \varphi(f^{\leq 0}) + \varphi(f^{\geq 1})$  — contradiction.

It remains to show the existence of  $f \in R^{\mathbb{N}}$  satisfying the above conditions. First, we put  $f_0 := p^{\max(0, k_1 - k_0)}$ . Now assume that  $n \in \mathbb{N}_+$  and  $f_0, \dots, f_{n-1}$  are defined. Let  $l := (n + k_{n+1}) - (n - 1 + k_n)$ . If  $l < 0$ , then we put  $f_n := 0$ , thus assume that  $l \geq 0$ . There exists  $a, b \in R$  such that

$$\sum_{m \in [0, n-1]} f_m \varphi(e_m) = a \cdot p^{n-1+k_n} \quad \text{and} \quad \varphi(e_n) = b \cdot p^{k_n}.$$

Moreover,  $b \cdot q$  and  $p$  are coprime, hence there exists  $c \in R$  such that  $a = c \cdot (b \cdot q) \pmod{p^l}$ . Then we put  $f_n := -c \cdot q \cdot p^{n-1}$ .